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Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/955,632
	<b>Filing Date</b>	September 18, 2001
	<b>First Named Inventor</b>	Kunal R. Parekh
	<b>Group Art Unit</b>	2813
	<b>Examiner Name</b>	Unassigned
<b>Total Number of Pages in This Submission</b>	57	<b>Attorney Docket Number</b> MI22-1816

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Postcard; Response to Notice to File Corrected Application Papers; Specification; Substitute Drawing Request; and Formal Drawings - 17 sheets
<b>Remarks</b> No Fees Required. The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Frederick M. Fliegel, Ph.D.; Wells St. John
Signature	
Date	Oct 22, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/22/2001			
Typed or printed name	Robin Saldivia	Signature	
		Date	10/22/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. . . . . 09/955,632  
Filing Date . . . . . September 18, 2001  
Inventor . . . . . Kunal R. Parekh et al.  
Assignee . . . . . Micron Technology, Inc.  
Group Art Unit . . . . . 2813  
Examiner . . . . . Unassigned  
Attorney's Docket No. . . . . MI22-1816  
TITLE: Capacitors, DRAM Arrays, Monolithic Integrated Circuits, And Methods of  
Forming Capacitors, DRAM Arrays, And Monolithic Integrated Circuits

Assistant Commissioner for Patents  
Washington, D. C. 20231  
Attention: Official Draftsman


**SUBSTITUTE DRAWING REQUEST**

Please enter the enclosed substitute drawings in the above-referenced application in place of drawings originally filed. The content of the drawings are identical to those now on file in this application.

Acknowledgment of receipt of the formal drawings and their acceptance into the file is requested.

Respectfully submitted,

Date: Oct 22, 2001

By:   
Frederick M. Fliegel, Ph.D.  
Reg. No.: 36,138  
WELLS, ST. JOHN, ROBERTS,  
GREGORY & MATKIN P.S.  
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Enclosures: 17 Sheets of Formal Drawings, Figs. 1-17.



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 09/955,632  
 Filing Date ..... September 18, 2001  
 Inventor ..... Kunal R. Parekh et al.  
 Assignee ..... Micron Technology, Inc.  
 Group Art Unit ..... 2813  
 Examiner ..... Unassigned  
 Attorney's Docket No. .... MI22-1816  
 Title: Capacitors, DRAM Arrays, Monolithic Integrated Circuits, And Methods of  
 Forming Capacitors, DRAM Arrays, And Monolithic Integrated Circuits

## RESPONSE TO OCTOBER 15, 2001 NOTICE TO FILE CORRECTED APPLICATION PAPERS UNDER 37 CFR 1.152(a)

To: Assistant Commissioner for Patents  
 Washington, D.C. 20231

From: Frederick M. Fliegel, Ph.D.  
 (Tel. 509-624-4276; Fax 509-838-3424)  
 Wells, St. John, Roberts, Gregory & Matkin P.S.  
 601 West First Avenue, Suite 1300  
 Spokane, WA 99201-3828

Responsive to the Notice To File Corrected Application Papers dated  
 October 15, 2001, Applicant amends and remarks as shown in the specification,  
 Substitute Drawing Request and formal drawings submitted herewith.

Replace the entire specification submitted to the U.S. Patent and  
 Trademark Office on September 18, 2001 with the "clear" copy of the  
 specification submitted herewith. Applicant apologizes for the quality of the  
 specification submitted previously. This specification is a true and correct copy  
 and no changes have been made other than the clarity of the copy.

Further, as shown by the enclosed copies of the Return Receipt Postcard  
 and Ex Post Declaration of Express Mailing, Applicant submitted a Substitute

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,632	09/18/2001	Kunal R. Parekh	MI22-1816

021567  
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CONFIRMATION NO. 3955

## FORMALITIES LETTER



\*OC000000006909843\*

Date Mailed: 10/15/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- o A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - o Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages **Specification pages 1, 3, 4 & 5** are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because: Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE